#3 YC 5-5-08

JC828 U.S. PTO 10/058891 01/28/02

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QUEEN THOMAS (Printed Name) — Queen Thomas (Signature)

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

The Accompanying Application:

Applicant(s)	:	ANDERSON, et al.
For	:	FLUOXETINE ENTERIC PELLETS AND METHODS FOR THEIR PREPARATION AND USE
Docket No.	:	X-10709B

10058891 .012802

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231
Sir:

As a means of complying with the duty of disclosure, Applicants submit an "Information Disclosure Citation in an Application" on a modified Form PTO-1449 and provides a copy of each of the listed documents for consideration by the Examiner.

Since this Statement is being filed in accordance with 37 C.F.R. 1.97(b), Applicants submit that no additional fee is required.

Applicants request consideration of this information.

Respectfully submitted,

Robert D. Titus
Attorney for Applicants
Registration No. 40,206
Phone: 317-277-3729

Eli Lilly and Company
Patent Division/RDT
Lilly Corporate Center
Indianapolis, IN 46285

January 28, 2002

FORM PTO 1449 (modified) INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Atty. Docket No. X10709B	Serial No
	Applicants ANDERSON, et al.	
	Filing Date	Group

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date If Appropriate
CE	AA	4,314,081	2/2/82	Molloy et al	C07C	93/06	
	AB	4,626,549	12/2/86	Molloy et al.	A61K	31/135	
	AC	4,444,778	4/24/84	Coughlin	A61K	31/475	
	AD	5,356,934	10/18/94	Robertson et al.	A61K	31/135	
	AE	5,104,899	4/14/92	Young et al.	A61K	31/13	
	AF	5,508,276	4/16/96	Anderson et al.	A01N	43/00	
	AG	4,847,092	7/11/89	Thakkar et al.	A61K	9/64	
	AH	4,017,647	4/12/77	Ohno et al.	A61K	9/00	
	AI	4,018,895	4/19/77	Molloy et al.	A61K	31/135	
	AJ	4,194,009	3/18/80	Molloy et al.	A61K	31/135	
	AK	4,797,286	1/10/89	Thakkar et al	A61K	9/64	
	AL	4,853,230	8/1/89	Lovgren et al.	A61K	9/46	
	AM	4,918,242	4/17/90	Brown	C07C	43/02	
CE	AN	5,362,886	11/8/94	Berglund	C07D	333/16	

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-class	Translation	
							yes	no
CE	BA	EP 0 687 472	12/20/95	EPO	A61K	45/06		
	BB	EP 0 693 281	1/24/96	EPO	A61K	31/135		
	BC	WO 92/13452	8/20/92	PCT	A01N	43/60		
	BD	WO 95/12385	5/11/95	PCT	A61K	9/107		
CE	BE	WO 92/19226	11/12/92	PCT	A61K	9/16		

EXAMINER Charesse Evans DATE CONSIDERED 10/02

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Applicant ANDERSON, et al.	
	Filing Date	Group

CE	BF	WO 93/24154	12/9/93	PCT	A61L	15/62		
	BG	WO 93/18755	9/30/93	PCT	A61K	9/16		
CE	BH	GB 2 057 876	4/8/81	Great Britain	A61K	9/36		

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

CE	CA		Montgomery et al., *Lack of Efficacy of Fluoxetine in Recurrent Brief Depression and Suicidal Attempts*, Eur. Arch. Psychiatry Clin. Neurosci. (1994), 244:211-215
	CB		Burke et al., *Weekly Fluoxetine Controls Symptoms of Depression*, Psychopharmacology Bulletin (1995), 31(3):524
	CC		Stafford et al., *Enteric Film Coating Using Completely Aqueous Dissolved Hydroxypropyl Methyl Cellulose Phthalate Spray Solutions*, Drug Dev. And Industrial Pharmacy (1982), 8(4):513-530
	CD		Osterwald, H.P., *Properties of Film-Formers and Their Use in Aqueous Systems*, Pharmaceutical Research (1985), 2(1):14-18
	CE		Davis et al., *Preparation and Stability of Aqueous-Based Enteric Polymer Dispersions*, Drug Dev. And Industrial Pharmacy (1986), 12(10):1419-1448
	CF		Bloor et al., *The InVitro and InVivo Performance of Aqueous Based Enteric Coats of Neutralised Hydroxypropyl Methyl Cellulose Phthalate*, Drug Dev. And Industrial Pharmacy (1989), 15(14-16):2227-2243
	CG		Nagai et al., *Ch. 3 -- Applications of HPMC and HPMCAS Aqueous Film Coating of Pharmaceutical Dosage Forms*, Aqueous Polymeric Coatings for Pharmaceutical Dosage Forms (1989), pp 81-152
	CH		Chang, R., *A Comparison of Rheological and Enteric Properties Among Organic Solutions, Ammonium Salt Aqueous Solutions, and Latex Systems of Some Enteric Polymers*, Pharm. Tech. (Oct. 1990), 14(10):2-70
	CI		Fujii et al., *Coating Technique of Granules with Hydroxypropylmethylcellulose Acetate Succinate*, Recent Advances on Aqueous Polymeric Coating System and Related Techniques (Sep. 1990) pp 80-85
	CJ		DeLattre et al., *Enteric Film Coating With Aqueous Solutions of Hydroxypropylmethylcellulose Acetate Succinate*, Proceed. Intern. Symp. Control. Rel. Bioact.Matter (1992) 19:267-268
	CK		Schmidt et al., *The MiniWiD-Coater: II. Comparison of Acid Resistance of Enteric-Coated Bisacodyl Pellets Coated with Different Polymers*, Drug Dev. And Industrial Pharmacy (1992), 18(18):1969-1979
	CL		Wyatt, D.M., *Enhanced Stability of Aqueous Cellulose Acetate Phthalate (C-A-P) Enteric Films*, 7th Annual AAPS Conf. (1992) pp 1-5
	CM		Takahata et al., *Microencapsulation of Benzoic Acid Derivatives Using an Enteric Polymer by Surface Neutralization Method and Derivation of an Empirical Equation for Predicting Film Formation*, Chem. Pharm. Bull. (1993), 41(6):1137-1143
	CN		Obara et al., *Properties of Free Films Prepared from Aqueous Polymers by a Spraying Technique*, Pharm. Res. (1994), 11(11):1562-1567
	CO		Shin-Etsu Chemical Co., Ltd., *An Improved Aqueous Coating Using Shin-Etsu AQOAT*, Shin-Etsu Tech. Information Bull. (Feb. 1994) pp 1-14
	CP		Yakuji Nippo, Ltd., *Hydroxypropylmethylcellulose Acetate Succinate*, Japanese Pharmaceutical Excipients (1993) pp 182-187
	CQ		Shin-Etsu Chemical Co., Ltd., *"Dry Coating", An Innovative Enteric Coating Using Shin-Etsu AQOAT®*, Shin-Etsu Tech. Information No. A3. (Sep. 1996) pp 1-7
	CR		Obara et al., *"Dry Coating", A Novel Enteric Coating Method Using a Cellulose Derivative*, Pharm. Res. (Sep. 1996) -- PT6115, 13(9):S-185
CE	CS		Corey et al., *Enantioselective and Practical Syntheses of R- and S-Fluoxetines*, Tetrahedron Letters (1989), 30(39):5207-5210

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CE	CT		Johnson, K.H., World Patents Index, #93-352132 (1993)
	CU		Oguiza, et al., World Patents Index, #96-031591 (1996)
	CV		Wong et al., World Patents Index, Vol. 124, #156011 (1995)
	CW		Benfield et al., *Fluoxetine, A Review of its Pharmacodynamic and Pharmacokinetic Properties, and Therapeutic Efficacy in Repressive Illness*, Drugs (1986), Vol. 32, pp 481-508
	CX		Wirth et al., *Maillard Reaction of Lactose and Fluoxetine Hydrochloride, a Secondary Amine*, Journal of Pharmaceutical Sciences (Jan. 1998), 87(1):31-39
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